



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# 2  
10-16-02

Inventor Application of Allan MacLean et al.

Group Art Unit: 2622

Application No.: 09/683,200

Examiner: Not Yet Assigned

Filed: 11/30/2001

For: SYSTEM FOR PROCESSING ELECTRONIC DOCUMENTS USING PHYSICAL DOCUMENTS

Commissioner for Patents  
Washington, D.C. 20231

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Sir:

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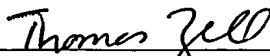
INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) and information listed on the attached PTO-1449 Form. One legible copy of each is attached to the PTO-1449 Form. It is respectfully requested that the references and information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of the above application (which is not a CPA), **OR** (b) before the mailing date of a first Office Action on the merits. *No certification or fee is required.*
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date **AND** after the date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance, or other action that closes prosecution. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). *(A copy of this paper is attached.)*
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- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c), more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2)
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- ☐ 5. Per 37 C.F.R. 1.98(a)(3), a concise explanation of the relevance of each of any submitted item that is NOT in the English language is either enclosed herewith, or incorporated in the application specification.
- ☐ 6. A copy of an English language version of an above-referenced counterpart foreign application search report is attached.
- ☐ 7. Copies of some or all of the subject references were cited by, or submitted to the Office in related parent Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is relied upon under 35 U.S.C. §120. Thus, copies of these references are not attached (except for copies of cited pending applications). 37 C.F.R. §1.98(d).
- ☒ 8. A copy of each copending application recited in the parent application of paragraph 7 above, and/or a copy of other related copending applications, is enclosed.

  
\_\_\_\_\_  
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Date January 15, 2002

Form PTO-1449		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. D/A1655		APPLICATION NO. 09/683,200	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				APPLICANT Allan MacLean et al.			
				FILING DATE 11/30/2001		GROUP ART UNIT 2622	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE			CLASS	SUB CLASS
	5,299,026	3/29/94	Vincett et al.			358	401
	5,511,148	4/23/96	Wellner			395	106

## FOREIGN PATENT DOCUMENTS

	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION Y/N
	GB	2 306 669 A	5/7/97	Ricoh Company Ltd	Y
	EP	0 568 161 A1	11/3/93	Xerox Corporation	Y
	EP	0 840 199 A2	5/6/98	Rank Xerox Limited	Y
	PCT	WO 99/50787 A1	10/7/99	Xerox Corporation	Y
	PCT	WO 99/50751 A1	10/7/99	Xerox Corporation	Y
	PCT	WO 99/50736 A1	10/7/99	Xerox Corporation	Y
	PCT	WO 99/50736 A1	10/7/99	Xerox Corporation	Y

## OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)

	U.S. Patent Application No. 09/340,748 entitled "Dual Video Camera System For Scanning Hardcopy Documents", filed 6/28/1999.
	U.S. Patent Application Serial No. 09/643,628, entitled "Encoded Sheet Material And System For Processing", filed 12/22/2000.
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	STEVENS ET AL, "Automatic Processing Of Document Annotations", Proceedings Of The BMVC, 1998, Vol. 2, pages 438-446.
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	YASUHITO SUENAGA, "Some Techniques For Document And Image Preparation", Systems And Computers In Japan, Vol. 17, No. 3, 1986, pages 35-46.
EXAMINER	DATE CONSIDERED
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	